

ISO 19011:2011 Management Systems Auditing



Sample Papers

ISO 19011:2002 Management Systems Auditing Sample Questions

1. A set of procedures or requirements are the:
 - A. audit criteria
 - B. audit findings
 - C. audit scope
 - D. None of the above

2. "One or more auditors conducting an audit, supported if needed by technical experts" is the definition for:
 - A. Certification Body
 - B. Lead Auditor/s
 - C. Audit Team
 - D. None of the above.

3. The "extent and boundaries of an audit" is the definition for:
 - A. Audit programme
 - B. Audit scope
 - C. Audit plan
 - D. None of the above

4. A pre-audit visit may be useful to:
 - A. determine whether systems are ready for a full audit
 - B. establish the scope of work
 - C. determine whether any technical assistance may be required
 - D. all of the above

5. An auditor should possess the following attribute:
 - A. aggressiveness
 - B. observance
 - C. suggestibility
 - D. all of the above.

6. An audit report can include:
 - A. The audit scope
 - B. Identification of the audit team members
 - C. Audit objectives
 - D. All the above

7. In audit process, opening (entry) meetings are used to:
- A. Introduce, outline the audit scope and explain the process.
 - B. Introduce, arrange an itinerary and prepare checklists.
 - C. Introduce, outline the scope and prepare checklists.
 - D. b and c above.
8. The auditor's role on a follow-up audit is:
- A. to verify that action arising from a non-conformance has been taken
 - B. to seek evidence that the action taken is effective in correcting the non-conformance
 - C. to seek evidence that the action taken will prevent a recurrence of the problem
 - D. all of the above
9. Where an auditee tells an auditor that a member of the staff does not have the necessary licences, the auditor should:
- A. Call the person over and ask if it is true.
 - B. If the licences are relevant, note details for later investigation.
 - C. Ignore the information completely and move on.
 - D. Ask the auditee to provide proof of their accusation.
10. At the end of the second day of a three day audit, the auditee asks the auditor about any nonconformities he has raised. How should the auditor react?
- A. Reveal the nonconformities and ask them to consider possible corrective actions.
 - B. Tell them only the non conformities that are easy to be corrected.
 - C. Gently tell them that the audit is not yet finished and all findings will be presented during the closing meeting the next day.
 - D. Stop the audit and leave.

ANSWER KEY for SAMPLE Questions

1	A
2	C
3	B
4	D
5	B
6	D
7	A
8	D
9	B
10	C